# A Growth and Yield Projection System (GYPSY) for Natural and Post-harvest Stands in Alberta 

## Prepared by:

Shongming Huang<br>Shawn X. Meng<br>Yuqing Yang

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For additional copies of this publication, please contact:
Forest Management Branch
Alberta Sustainable Resource Development
$8^{\text {th }}$ Floor, Great West Life Building
9920-108 Street
Edmonton, Alberta, Canada T5K 2M4
Tel: (780) 427-8474 Fax: (780) 427-0084

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## 1. Introduction

GYPSY (short for Growth and Yield Projection System) is a forest growth model composed of a number of sub-models or functions. Since the inception of the FRIAA-GYPSY project, several rounds of model development and testing have been completed. Fairly extensive validations were conducted by the model developers within Forest Management Branch as well as external consultants during the last six months. As a result of these validations and subsequent discussion, some of the GYPSY sub-models were re-formulated and re-fitted. This document lists the "final" sub-models effective May 21, 2009. Unless a substantial deficiency is detected with a particular sub-model during the application and use of GYPSY, this version of GYPSY will not be modified prior to March 2010.

Since most readers are already familiar with the sub-models, model structure and model usage, only the final sub-models and a brief description of each are provided here. A detailed technical document describing all sub-models is planned for release in March 2010. An Excel version of GYPSY along with a user's manual is planned for release by January 2010. It is expected that many of the sub-models and/or the methodologies used to develop the sub-models will be published in scientific journals through anonymous peer-reviews.

## 2. Spatial Versus Non-Spatial GYPSY

The non-spatial version of GYPSY utilizes the following models:
1). Top height models (Section 4)
2). Non-spatial density models (Section 5)
3). Non-spatial basal area increment models (Section 6)
4). Gross total and merchantable volume models (Sections 10 and 11)

The spatial version of GYPSY utilizes the following models:
1). Top height models (Section 4)
2). Percent stocking models (Section 7)
3). Spatial density models (Section 8)
4). Spatial basal area increment models (Section 9)
5). Gross total and merchantable volume models (Sections 10 and 11)

In both cases, volume compilations use the nonlinear mixed-effects height-diameter models (Section 12) and the standard Alberta taper equations (1994).

Only the non-spatial version of GYPSY should be used for fire-origin stands.
Both the spatial and the non-spatial versions of GYPSY can be used for post-harvest stands. However, it is recommended that the spatial version of GYPSY be used whenever possible.

GYPSY was developed for four main Alberta tree species grown in pure and mixed-species stands: aspen (AW), lodgepole pine (PL), white spruce (SW), and black spruce (SB). All GYPSY sub-models are species-specific. In the cases where other species are present, they can in most cases be grouped with the four leading species as follows:

$$
\begin{aligned}
& \mathrm{AW} \leftarrow \mathrm{AW}+\mathrm{PB} \\
& \mathrm{SB} \leftarrow \mathrm{SB} \\
& \mathrm{SW} \leftarrow \mathrm{SE}+\text { Fir } \\
& \mathrm{PL} \leftarrow \mathrm{PJ}+\text { other pine species }+\mathrm{LT}
\end{aligned}
$$

Based on the available data from Alberta government PSPs, grouping white birch (BW) with AW distorted the AW models substantially in most cases (due to the highly variable measurements for BW). Therefore, until more BW data are collected and more tests are done, it is recommended that BW should not be included in GYPSY runs. In the rare case where BW is managed as the leading species, BW could be considered as "AW" and its yields generated using the AW models, but the results obtained in this manner should be interpreted with caution.

## 3. Linking GYPSY to ARS Variables

One of the predominant reasons for developing GYPSY is to link the growth and yield of postharvest stands to Alberta's Regeneration Performance Survey Standards and Alternative Regeneration Standards (ARS). In order to run the spatial version of GYPSY for post-harvest stands, the following variables must at a minimum be obtained for each cutblock or opening:
1). Top height
2). Total age
3). Density
4). Percent stocking

If alternative or enhanced forest management practices such as thinning, herbiciding, fertilization, and/or genetic improvement are applied to an opening, measurement of an additional variable "basal area" is recommended to enable the impacts of such practices to be assessed, and to achieve a better prediction accuracy:
5). Basal area

A brief description of each variable follows. Readers should consult Alberta's Regeneration Survey manual or relevant ARS manual for detailed field measurement procedures.

## 1). Top height

Top height is defined as the average height of the 100 largest DBH (diameter at 1.3 m above ground) trees per ha. For the regeneration survey grid used in Alberta, top height refers to the height of the largest (live) DBH tree in a $100 \mathrm{~m}^{2}$ plot.

If the largest DBH tree in the $100 \mathrm{~m}^{2}$ plot has a lost or broken top that has not yet been replaced with a new leader, the next largest diameter tree of that species is used as the top height tree. There are to be no substitution of lower diameter size trees due to health or other vigour conditions of the top height tree. Veterans, advanced growth, or obvious wolf trees must be excluded from top height trees. If necessary, relevant tree condition codes can be assigned to the top height trees (and other trees measured in the surveys), following the specifications given in the Regeneration Survey manual or ARS manual.

In the cases where all trees in a plot are shorter than 1.3 m (i.e., no DBH measurement), the top height tree is the largest diameter tree at stump height ( 0.3 m above ground).

## 2). Total age

Total age refers to the years since the point of germination. It is taken on the top height tree, regardless of whether the tree is shorter or taller than 1.3 m . Total age is used in conjunction with top height for determining site index.

Total age must be determined carefully, following the descriptions given in the Regeneration Survey manual or ARS manual.

## 3). Density

GYPSY uses different ways to define stand density for different stand types and species:
Post-harvest stands: for deciduous species, density refers to stems/ha of the subject species $>1.3 \mathrm{~m}$ tall; for coniferous species, density refers to stems $/ \mathrm{ha}$ of the subject species $>0.3 \mathrm{~m}$ tall

Fire-origin stands: density refers to stems $/$ ha of the subject species $>1.3 \mathrm{~m}$ tall, regardless of the coniferous or deciduous species.

## 4). Percent stocking

Percent stocking refers to the percentage (\%) of the $10 \mathrm{~m}^{2}$ plots of the regeneration survey grid with at least 1 tree of the subject species that meets the density count requirement. It is inferred from density measurements: no actual variable of "percent stocking" needs to be measured in the field.

## 5). Basal area

In stands where thinning, herbiciding, fertilization, genetic improvement, and/or other alternative or enhanced forest management practices are carried out, the diameters, along with the associated density, of some representative or all trees taller than 1.3 m need to be measured in some $10 \mathrm{~m}^{2}$ plots on the regeneration survey grid, to obtain the "basal area at 1.3 m above ground" - a GYPSY input variable recommended when running projections for stands that have gone through these treatments:
a). All diameter measurements are to be taken at breast height ( 1.3 m above ground). In the cases where the trees have not reached breast height, no DBH measurement is taken;
b). The " 1.3 density" (stems/ha for trees $>1.3 \mathrm{~m}$ tall) associated with the DBH measurements must be clearly recorded and separated from other regular variables.

Note that the DBH measurement and the " 1.3 density" are additions to the regular variables for alternative or enhanced forest management stands. The " 1.3 density" must be clearly separated from the regular density, which accounts for trees $>0.3 \mathrm{~m}$ tall for coniferous species. The recording of the data must allow for a clear separation of the 0.3 and 1.3 densities.

## 4. Top height models

Due to the variation in height growth patterns, different forms are used for the top height models for the four GYPSY species:

$$
\begin{equation*}
H_{\text {top }}=S I_{t} \times\left(\frac{1+\exp \left(b_{1}+b_{2} \sqrt{\ln (1+50)}+b_{3}\left[\ln \left(S I_{t}\right)\right]^{2}+b_{4} \sqrt{50}\right)}{1+\exp \left(b_{1}+b_{2} \sqrt{\ln (1+\text { totage })}+b_{3}\left[\ln \left(S S_{t}\right)\right]^{2}+b_{4} \sqrt{50}\right)}\right) \tag{1}
\end{equation*}
$$

$$
\begin{equation*}
H_{\text {top }}=S I_{t} \times\left(\frac{1+\exp \left(b_{1}+b_{2} \sqrt{\ln (1+50)}+b_{3} \ln \left(S I_{t}\right)+b_{4} \sqrt{50}\right)}{1+\exp \left(b_{1}+b_{2} \sqrt{\ln (1+\text { totage })}+b_{3} \ln \left(S I_{t}\right)+b_{4} \sqrt{50}\right)}\right)(\mathrm{SB} \text { and PL }) \tag{2}
\end{equation*}
$$

$$
\begin{equation*}
H_{\text {top }}=S I_{t} \times\left(\frac{1+\exp \left(b_{1}+b_{2} \sqrt{\ln \left(1+50^{2}\right)}+b_{3}\left[\ln \left(S I_{t}\right)\right]^{2}+b_{4} \sqrt{50}\right)}{1+\exp \left(b_{1}+b_{2} \sqrt{\ln \left(1+\text { totage }^{2}\right)}+b_{3}\left[\ln \left(S I_{t}\right)\right]^{2}+b_{4} \sqrt{50}\right)}\right)(\mathrm{SW}) \tag{3}
\end{equation*}
$$

where:
$\mathrm{H}_{\text {top }}=$ top height (m), i.e., average height of the 100 largest DBH trees per ha $\mathrm{SI}_{\mathrm{t}}=$ totage-based site index, i.e., top height at 50 years total age totage $=$ total age from the point of germination

Estimated coefficients for the top height models are listed in Table 1.
Table 1. Estimated coefficients for the top height models.

| Species |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Model | Aspen | Black spruce | Lodgepole pine | White spruce |
| $\mathrm{b}_{1}$ | 9.908888 | 14.56236 | 12.84571 | 12.14943 |
| $\mathrm{~b}_{2}$ | -3.92451 | -6.04705 | -5.73936 | -3.77051 |
| $\mathrm{~b}_{3}$ | -0.32778 | -1.53715 | -0.91312 | -0.28534 |
| $\mathrm{~b}_{4}$ | 0.134376 | 0.240174 | 0.150668 | 0.165483 |

An example program is provided in Appendix 1 to demonstrate how the top height models can be used to predict site index and to make top height projections. Exact mathematical conversions between total age and breast height age (bhage), $\mathrm{SI}_{\mathrm{t}}$ and $\mathrm{SI}_{\mathrm{bh}}\left(\mathrm{SI}_{\mathrm{bh}}\right.$ is the site index at 50 years bhage, which is currently used in Alberta), are embedded in the models (see Appendix 1). In the cases where stump age or breast height age needs to be converted to total age, the following average conversion factors can be used:

AW: total age $=$ stump age $+0.5 ;$ total age $=$ bhage +4
PL: $\quad$ total age $=$ stump age $+3 ; \quad$ total age $=$ bhage +8
SW: $\quad$ total age $=$ stump age $+4 ; \quad$ total age $=$ bhage +12
SB: total age $=$ stump age $+5 ; \quad$ total age $=$ bhage +15

## 5. Density models (non-spatial)

The density models describe stand density changes over time. They are functions of age, current or initial density, site quality and species mixtures. All models are species-specific. In GYPSY, stand density is defined differently for different stand types and species (see Section 3).

## Aspen

[4]

$$
\begin{aligned}
& N=S D F_{a w} \times\left(\frac{1+\exp \left[b_{1}+b_{2} S I_{b h}+b_{3} \ln (1+50)\right]}{1+\exp \left[b_{1}+b_{2} S I_{b h}+b_{3} \ln (1+b h a g e)\right]}\right) \\
& b_{1}=-\left(\frac{1}{\sqrt{S D F_{a w} / 1000}}+\sqrt{\left.1+\sqrt{\frac{50}{\sqrt{S D F_{a w}} \cdot \ln (1+50)}}\right) \times \ln (1+50)}\right. \\
& b_{2}=\frac{c_{0}}{4}\left(\sqrt{S D F_{a w}}\right)^{1 / S D F_{a w}} \\
& b_{3}=\left(1+c_{0}\right) S D F_{a w}^{\left[\frac{c_{1}+\ln \left(S D F_{a w}\right)}{S D F_{a w}}\right]} \\
& c_{0}=0.717966, \text { and } \mathrm{c}_{1}=6.67468 .
\end{aligned}
$$

## Black spruce

$$
\begin{align*}
& N=S D F_{s b} \times\left(\frac{1+\exp \left[b_{1}+b_{2} \ln \left(S I_{b h}\right)+b_{3} \ln (1+50)\right]}{1+\exp \left[b_{1}+b_{2} \ln \left(S I_{b h}\right)+b_{3} \ln (1+\text { totage })\right]}\right)  \tag{5}\\
& b_{1}=\frac{c_{1}}{\left(\sqrt{S D F_{s b} / 1000}+\ln (1+50)\right)^{c_{2}}} \\
& b_{2}=c_{3} \\
& b_{3}=c_{3} S D F_{s b}^{1 / S D F_{s b}} \\
& \mathrm{c}_{1}=-26.3836, \mathrm{c}_{2}=0.166483, \text { and } \mathrm{c}_{3}=2.738569 .
\end{align*}
$$

## White spruce

[6] $\quad N=S D F_{s w} \times\left(\frac{1+\exp \left[b_{1}+b_{2} \ln \left(S I_{b h}\right)+b_{3} \ln (1+50)\right]}{1+\exp \left[b_{1}+b_{2} \ln \left(S I_{b h}\right)+b_{3} \ln (1+\text { totage })\right]}\right)$
$b_{1}=\frac{c_{1}}{\left(\ln \left(S D F_{s w}\right)+\ln (1+50)\right)^{c_{2}}}+z_{1} \sqrt{1+S D F_{a w} / 1000}$
$b_{2}=c_{3}$
$b_{3}=c_{3} S D F_{s w}{ }^{1 / S D F_{s w}}$
$c_{1}=-231.617, c_{2}=1.176995$, and $c_{3}=1.733601$.
if $\mathrm{SDF}_{\mathrm{aw}}=0$ then $\mathrm{z}_{1}=0$; if $\mathrm{SDF}_{\mathrm{aw}}>0$ then $\mathrm{z}_{1}=1$.

## Lodgepole pine

$$
\begin{align*}
& N=S D F_{p l} \times\left(\frac{1+\exp \left[b_{1}+b_{2} \ln \left(S I_{b h}\right)+b_{3} \ln (1+50)\right]}{1+\exp \left[b_{1}+b_{2} \ln \left(S I_{b h}\right)+b_{3} \ln (1+\text { totage })\right]}\right)  \tag{7}\\
& b_{1}=\left(c_{1}+\frac{z_{1}\left(S D F_{a w} / 1000\right)}{2}+\frac{z_{2}\left(S D F_{s w} / 1000\right)}{3}+\frac{z_{3}\left(S D F_{s b} / 1000\right)}{4}\right)+\frac{c_{2}}{\left(\sqrt{S D F_{p l}}\right)^{c_{3}}} \\
& b_{2}=\frac{c_{4}}{\left(\sqrt{S D F_{p l}}\right)^{c_{5}}} \\
& b_{3}=c_{4} S D F_{p l}^{k}, \quad k=\frac{1+c_{6} \sqrt{S D F_{p l}}}{S D F_{p l}} \\
& \mathrm{c}_{1}=-5.25144, \mathrm{c}_{2}=-483.195, \mathrm{c}_{3}=1.138167, \mathrm{c}_{4}=1.017479, \mathrm{c}_{5}=-0.05471, \text { and } \mathrm{c}_{6}= \\
& 4.11215 .
\end{align*}
$$

if $\operatorname{SDF}_{\mathrm{aw}}=0$ then $\mathrm{z}_{1}=0$; if $\mathrm{SDF}_{\mathrm{sw}}=0$ then $\mathrm{z}_{2}=0$; if $\operatorname{SDF}_{\mathrm{sb}}=0$ then $\mathrm{z}_{3}=0$; if $\mathrm{SDF}_{\mathrm{aw}}>0$ then $\mathrm{z}_{1}=1$; if $\mathrm{SDF}_{\mathrm{sw}}>0$ then $\mathrm{z}_{2}=1$; if $\mathrm{SDF}_{\mathrm{sb}}>0$ then $\mathrm{z}_{3}=1$.

Where in [4]-[7]:
$\mathrm{N}=$ density (stems/ha) of the subject species
$\mathrm{SDF}_{\text {aw }}=$ stand density factor for AW, which is N at 50 years bhage
$\mathrm{SDF}_{\mathrm{sb}}=$ stand density factor for SB , which is N at 50 years totage
$\mathrm{SDF}_{\mathrm{sw}}=$ stand density factor for SW , which is N at 50 years totage
$\mathrm{SDF}_{\mathrm{pl}}=$ stand density factor for PL, which is N at 50 years totage
$\mathrm{SI}_{\mathrm{bh}}=$ site index (m) of the subject species at 50 years bhage

## 6. Basal area increment models (non-spatial)

The basal area increment models provide annual basal area increment predictions. They are functions of breast height age, site index, density, basal area, and species composition (SC), where SC refers to the ratio of stand densities between the subject species and all species combined ( $\mathrm{SC}=\mathrm{N}_{\text {species }} / \mathrm{N}_{\text {all }}$. All models are species-specific. They can be used to make basal area predictions whether the current stand basal area is available or not.

## Aspen

[8]

$$
\begin{aligned}
& \text { BAINC }=\frac{10^{-4} a_{1} \text { Bhage }_{1}^{2} \exp \left(-a_{2} \text { Bhage }_{1}^{\left(1 / 2+a_{1}\right)}\right) \cdot\left[\ln \left(1+N_{0} \sqrt{\left.1+\text { Bhage }_{1}\right)}\right]^{2} S I_{a w} S C_{1}^{a_{5}}\right.}{\left(1+B A_{1}\right)^{a_{3}}\left[1+\exp \left(1-\frac{\ln \left(1+S C_{1}^{2}\right)}{2}\right)\right]}+k \\
& k=a_{4} \ln \left(0.01+\text { Bhage }_{1} / 10\right) \\
& \mathrm{a}_{1}=0.751313, \mathrm{a}_{2}=0.018847, \mathrm{a}_{3}=1.143762, \mathrm{a}_{4}=-0.03475, \text { and } \mathrm{a}_{5}=0.835189 .
\end{aligned}
$$

## Black spruce

[9] BAINC $=10^{-4} a_{1} \exp \left(-a_{2}\right.$ Bhage $\left._{1}\right)$ Bhage $_{1}^{\left(\sqrt{a_{1}}+a_{2}\right)} S C_{1}^{a_{3}} \cdot k$

$$
\begin{aligned}
& k=\frac{\left(1+\sqrt{N_{0}} \cdot \sqrt{1+\text { Bhage }_{1}}\right) \ln \left(1+S I_{s b}\right) \exp \left(-\frac{N_{0} / 4}{10000}\right)}{\left(1+B A_{1}\right)^{a_{2}}} \\
& \mathrm{a}_{1}=0.966285, \mathrm{a}_{2}=0.056315, \text { and } \mathrm{a}_{3}=0.17191 .
\end{aligned}
$$

## White spruce

[10] BAINC $=\frac{10^{-4} a_{1}\left(a_{2}+\text { Bhage }_{1}\right)^{2}\left(1+\text { Bhage }_{1}\right)^{\left(\sqrt{\left.a_{1}+a_{2}-a_{3}\right)} \exp \left(-a_{2} \text { Bhage }_{1}\right) S C_{1}^{a_{6}} \cdot m\right.}}{\left[1+\exp \left(1+k+\frac{\ln \left(1+\sqrt{N_{0}} / 10000\right)}{2}+a_{3} \ln \left(1+B A_{1}\right)\right)\right]}$

$$
\begin{aligned}
& m=\left[\ln \left(1+N_{0} \sqrt{1+\text { Bhage }_{1}}\right)\right]^{2} \sqrt{S S_{s w}} \exp \left(-\frac{N_{0} / 10}{10000}\right) \\
& k=a_{4} z_{1} \ln \left(1+\frac{S D F_{a v}}{10000}\right)+a_{5} z_{2} \ln \left(1+\frac{S D F_{p l}}{10000}\right)+z_{3} \ln \left(1+\frac{S D F_{s b}}{10000}\right) \\
& \mathrm{a}_{1}=0.089153, \mathrm{a}_{2}=0.072171, \mathrm{a}_{3}=-0.11483, \mathrm{a}_{4}=5.839408, \\
& \mathrm{a}_{5}=1.753002, \mathrm{a}_{6}=0.239521 .
\end{aligned}
$$

if $\operatorname{SDF}_{\mathrm{aw}}=0$ then $\mathrm{z}_{1}=0$; if $\mathrm{SDF}_{\mathrm{pl}}=0$ then $\mathrm{z}_{2}=0$; if $\mathrm{SDF}_{\mathrm{sb}}=0$ then $\mathrm{Z}_{3}=0$; if $\mathrm{SDF}_{\mathrm{aw}}>0$ then $\mathrm{z}_{1}=1$; if $\mathrm{SDF}_{\mathrm{pl}}>0$ then $\mathrm{z}_{2}=1$; if $\mathrm{SDF}_{\mathrm{sb}}>0$ then $\mathrm{z}_{3}=1$.

## Lodgepole pine

[11]

$$
\begin{aligned}
& \text { BAINC }=\frac{10^{-4} a_{1} \text { Bhage } \exp \left(-a_{2} \text { Bhage }_{1}\right)\left(1+\frac{\ln \left(1+\text { Bhage }_{1}\right)}{2}\right) \cdot m_{1}}{\left[1+\exp \left(\frac{k}{2}+\ln \left(1+\frac{1}{3} \cdot \frac{N_{0}}{10000}\right)-a_{3} \sqrt{S C_{1}}+a_{4} \ln \left(1+B A_{1}\right)\right)\right]}+m_{2} \\
& m_{1}=\left(1+a_{3}+S I_{p l}{ }^{a_{6}}\right) \sqrt{N_{0}} \exp \left(-\frac{1}{3} \cdot \frac{N_{0}}{10000}\right) \\
& m_{2}=a_{5} \ln \left(0.01+\text { Bhage }_{1} / 10\right) \\
& k=z_{1} \ln \left(1+\frac{S D F_{a w}}{1000}\right)+\frac{z_{2}}{2} \ln \left(1+\frac{S D F_{s w}}{1000}\right)+\frac{z_{3}}{2} \ln \left(1+\frac{S D F_{s b}}{1000}\right) \\
& a_{1}=3.923984, a_{2}=0.05752, a_{3}=0.560402, a_{4}=0.672506, \\
& a_{5}=-0.00358 \text {, and } a_{6}=0.775765 \text {. } \\
& \text { if } \operatorname{SDF}_{\mathrm{aw}}=0 \text { then } \mathrm{z}_{1}=0 \text {; if } \mathrm{SDF}_{\mathrm{sw}}=0 \text { then } \mathrm{z}_{2}=0 \text {; if } \mathrm{SDF}_{\mathrm{sb}}=0 \text { then } \mathrm{z}_{3}=0 \text {; } \\
& \text { if } \mathrm{SDF}_{\mathrm{aw}}>0 \text { then } \mathrm{z}_{1}=1 \text {; if } \mathrm{SDF}_{\mathrm{sw}}>0 \text { then } \mathrm{z}_{2}=1 \text {; if } \mathrm{SDF}_{\mathrm{sb}}>0 \text { then } \mathrm{z}_{3}=1 \text {. } \\
& \text { Where in [8]-[11]: } \\
& \text { BAINC }=\text { annual basal area increment for the subject species }\left(\mathrm{m}^{2} / \mathrm{ha} / \text { year }\right) \\
& \text { Bhage }_{1}=\text { current breast height age (years) } \\
& \mathrm{N}_{0}=\text { initial density at bhage zero (for AW) } \\
& \mathrm{N}_{0}=\text { initial density at totage zero (for SB, SW and PL) } \\
& \mathrm{SI}_{\text {species }}=\text { site index }\left(\mathrm{SI}_{\mathrm{bh}}, \mathrm{~m}\right) \text { at } 50 \text { years bhage } \\
& \mathrm{SC}_{1}=\text { current species composition }\left(\mathrm{N}_{\text {species }} / \mathrm{N}_{\text {all }}\right) \\
& \mathrm{BA}_{1}=\text { current basal area }\left(\mathrm{m}^{2} / \mathrm{ha}\right) \text { for the subject species }
\end{aligned}
$$

In practice, GYPSY users can assume that for aspen, the initial density at bhage zero is equivalent to the initial density at totage zero.

## 7. Percent stocking models

The percent stocking models describe the level of stocking and the clumpiness of trees in a stand. They provide a direct linkage between regeneration survey standards and yield forecasts. The models take the following forms:

$$
\begin{equation*}
P S_{a w}=P S I_{a w} \times\left(\frac{1+\exp \left(b_{1}+b_{2}[\ln (1+50)]^{2}+b_{3} \ln \left(S I_{a w}\right)\right)}{1+\exp \left(b_{1}+b_{2}\left[\ln \left(1+\text { totage }_{a w}\right)\right]^{2}+b_{3} \ln \left(S I_{a w}\right)\right)}\right) \tag{12}
\end{equation*}
$$

$$
\begin{equation*}
P S_{s b}=P S I_{s b} \times\left(\frac{1+\exp \left(b_{1}+b_{2}[\ln (1+50)]^{2}+b_{3} \ln \left(S I_{s b}\right)\right)}{1+\exp \left(b_{1}+b_{2}\left[\ln \left(1+\text { totage }_{s b}\right)\right]^{2}+b_{3} \ln \left(S I_{s b}\right)\right)}\right) \tag{13}
\end{equation*}
$$

$$
\begin{equation*}
P S_{s w}=P S I_{s w} \times\left(\frac{1+\exp \left(b_{1}+b_{2}[\ln (1+50)]^{2}+b_{3} \ln \left(S I_{s w}\right)+x_{1}\left(P S I_{a w} / b_{3}\right) / 50\right)}{1+\exp \left(b_{1}+b_{2}\left[\ln \left(1+\text { totage }_{s w}\right)\right]^{2}+b_{3} \ln \left(S I_{s w}\right)+x_{1}\left(P S I_{a w} / b_{3}\right) / 50\right)}\right) \tag{14}
\end{equation*}
$$

$$
\begin{align*}
& P S_{p l}=P S I_{p l} \times\left(\frac{1+\exp \left(b_{1}+b_{2}[\ln (1+50)]^{2}+b_{3} \ln \left(S I_{p l}\right)+\mathrm{M}\right)}{1+\exp \left(b_{1}+b_{2}\left[\ln \left(1+\text { totage }_{p l}\right)\right]^{2}+b_{3} \ln \left(S I_{p l}\right)+\mathrm{M}\right)}\right)  \tag{15}\\
& \mathrm{M}=b_{4} x_{1} P S I_{a w}+b_{5} x_{2} \sqrt{P S I_{s b}}+b_{6} x_{3} \sqrt{P S I_{s w}}
\end{align*}
$$

where:
PS $=$ percent stocking (\%), i.e., $\%$ of $10 \mathrm{~m}^{2}$ plots with at least 1 tree of the subject species
PSI $=$ percent stocking index, which is PS at 50 years total age
totage $=$ total age (years) from the point of germination
$\mathrm{SI}=$ site index $(\mathrm{m})$ at 50 years bhage
$\mathrm{x}_{1}, \mathrm{x}_{2}$ and $\mathrm{x}_{3}$ are indicator variables defined as follows:
$\mathrm{x}_{1}=0$ if $\mathrm{PSI}_{\mathrm{aw}}=0 ; \mathrm{x}_{2}=0$ if $\mathrm{PSI}_{\mathrm{sb}}=0 ; \mathrm{x}_{3}=0$ if $\mathrm{PSI}_{\mathrm{sw}}=0$;
$\mathrm{x}_{1}=1$ if $\mathrm{PSI}_{\mathrm{aw}}>0 ; \mathrm{x}_{2}=1$ if $\mathrm{PSI}_{\mathrm{sb}}>0 ; \mathrm{x}_{3}=1$ if $\mathrm{PSI}_{\mathrm{sw}}>0$.
Estimated coefficients for the percent stocking_models are listed in Table 2.
Table 2. Estimated coefficients for the percent stocking_models.

| Species | Aspen | Black spruce | White spruce | Lodgepole pine |
| :---: | :---: | :---: | :---: | :---: |
| Model | $[12]$ | $[13]$ | $[14]$ | $[15]$ |
| $\mathrm{b}_{1}$ | -14.9555 | -16.7154 | -21.8721 | -12.2731 |
| $\mathrm{~b}_{2}$ | 0.45162 | 0.36984 | 0.395767 | 0.23981 |
| $\mathrm{~b}_{3}$ | 1.59953 | 2.80854 | 4.128582 | 2.17610 |
| $\mathrm{~b}_{4}$ |  |  |  | 0.02492 |
| $\mathrm{~b}_{5}$ |  |  |  | 0.08990 |
| $\mathrm{~b}_{6}$ |  |  |  | 0.17058 |

## 8. Density models (spatial)

The spatial density models describe species-specific stand density changes over time as functions of age, current or initial density, site quality, species mixtures and percent stocking.

Aspen

$$
\begin{align*}
& N=S D F_{a w} \times\left(\frac{1+\exp \left[b_{1}+b_{2} S I_{b h}+b_{3} \ln (1+50)\right]}{1+\exp \left[b_{1}+b_{2} S I_{b h}+b_{3} \ln (1+\text { bhage })\right]}\right)  \tag{16}\\
& b_{1}=-\left(\frac{1}{\sqrt{S D F_{a w} / 1000}}+\sqrt{1+\frac{50}{\sqrt{S D F_{a w}} \cdot \ln (1+50)}}+\frac{c_{0}}{c_{1}} \ln \left(1+\frac{P S I_{a w}}{\sqrt{S D F_{a w}}}\right)\right) \times \ln (1+50) \\
& b_{2}=\frac{c_{0}}{4}\left(\sqrt{S D F_{a w}}\right)^{1 / S D F_{a w}} \\
& b_{3}=\left(1+c_{0}\right) S D F_{a w}^{k} \\
& k=\frac{c_{1}+\ln \left(S D F_{a w}\right)+\ln \left(1+P S I_{a w}\right) / S D F_{a w}}{S D F_{a w}} \\
& c_{0}=0.71123, \text { and } \mathrm{c}_{1}=7.015511 .
\end{align*}
$$

## Black spruce

$$
\begin{align*}
& N=S D F_{s b} \times\left(\frac{1+\exp \left[b_{1}+b_{2} \ln \left(S I_{b h}\right)+b_{3} \ln (1+50)\right]}{1+\exp \left[b_{1}+b_{2} \ln \left(S I_{b h}\right)+b_{3} \ln (1+\text { totage })\right]}\right)  \tag{17}\\
& b_{1}=\frac{c_{1}}{\left(\sqrt{S D F_{s b} / 1000}+\ln (1+50)\right)^{c_{2}}}-\frac{\ln \left(1+P S I_{s b}\right)}{1+c_{3}} \\
& b_{2}=c_{3} \\
& b_{3}=c_{3} S D F_{s b}{ }^{1 / S D F_{s b}} \\
& \mathrm{c}_{1}=-25.7666, \mathrm{c}_{2}=0.192351, \text { and } \mathrm{c}_{3}=2.718748 .
\end{align*}
$$

## White spruce

$$
\begin{equation*}
N=S D F_{s w} \times\left(\frac{1+\exp \left[b_{1}+b_{2} \ln \left(S I_{b h}\right)+b_{3} \ln (1+50)\right]}{1+\exp \left[b_{1}+b_{2} \ln \left(S I_{b h}\right)+b_{3} \ln (1+\text { totage })\right]}\right) \tag{18}
\end{equation*}
$$

$b_{1}=\frac{c_{1}}{\left(\ln \left(S D F_{s w}\right)+\ln (1+50)\right)^{c_{2}}}+z_{1} \sqrt{1+S D F_{a w} / 1000}+\frac{\ln \left(1+S D F_{s w}\right)}{10}$
$b_{2}=c_{3}$
$b_{3}=c_{3}\left(\sqrt{1+P S I_{s w}}\right)^{-1 / 10} S D F_{s w}{ }^{1 / S D F_{s w}}$
$c_{1}=-254.391, c_{2}=1.146709$, and $c_{3}=2.202149$.
if $\mathrm{SDF}_{\mathrm{aw}}=0$ then $\mathrm{Z}_{1}=0$; if $\mathrm{SDF}_{\mathrm{aw}}>0$ then $\mathrm{z}_{1}=1$.

## Lodgepole pine

$$
\begin{align*}
& N=S D F_{p l} \times\left(\frac{1+\exp \left[b_{1}+b_{2} \ln \left(S I_{b h}\right)+b_{3} \ln (1+50)\right]}{1+\exp \left[b_{1}+b_{2} \ln \left(S I_{b h}\right)+b_{3} \ln (1+\text { totage })\right]}\right)  \tag{19}\\
& b_{1}=\left(c_{1}+\frac{z_{1}\left(S D F_{a w} / 1000\right)}{2}+\frac{z_{2}\left(S D F_{s w} / 1000\right)}{3}+\frac{z_{3}\left(S D F_{s b} / 1000\right)}{4}\right)+m \\
& m=\frac{c_{2}}{\left(\sqrt{S D F_{p l}}\right)^{c_{3}}}-\frac{\ln \left(1+P S I_{p l}\right)}{1+c_{4}} \\
& b_{2}=\frac{c_{4}}{\left(\sqrt{S D F_{p l}}\right)^{c_{s}}} \\
& b_{3}=c_{4} S D F_{p l}^{k}, k=\frac{1+c_{6} \sqrt{S D F_{p l}}}{S D F_{p l}}
\end{align*}
$$

$$
c_{1}=-3.09711, c_{2}=-512.394, c_{3}=1.14981, c_{4}=1.027108, c_{5}=-0.05479, \text { and } c_{6}=
$$ 4.12191 .

if $\mathrm{SDF}_{\mathrm{aw}}=0$ then $\mathrm{z}_{1}=0$; if $\mathrm{SDF}_{\mathrm{sw}}=0$ then $\mathrm{z}_{2}=0$; if $\operatorname{SDF}_{\mathrm{sb}}=0$ then $\mathrm{z}_{3}=0$; if $\mathrm{SDF}_{\mathrm{aw}}>0$ then $\mathrm{z}_{1}=1$; if $\mathrm{SDF}_{\mathrm{sw}}>0$ then $\mathrm{z}_{2}=1$; if $\mathrm{SDF}_{\mathrm{sb}}>0$ then $\mathrm{z}_{3}=1$.

Where in [16]-[19]:
$\mathrm{N}=$ density (stems/ha) of the subject species
$\mathrm{SDF}_{\mathrm{aw}}=$ stand density factor for AW, which is N at 50 years bhage
$\mathrm{SDF}_{\mathrm{sb}}=$ stand density factor for SB , which is N at 50 years totage
$\mathrm{SDF}_{\mathrm{sw}}=$ stand density factor for SW , which is N at 50 years totage
$\mathrm{SDF}_{\mathrm{pl}}=$ stand density factor for PL, which is N at 50 years totage
$\mathrm{SI}_{\mathrm{bh}}=$ site index (m) of the subject species at 50 years bhage
PSI $=$ percent stocking index of the subject species (i.e., PS at 50 years totage)

## 9. Basal area increment models (spatial)

The spatial basal area increment models provide species-specific annual basal area increment predictions as functions of breast height age, site index, density, basal area, species composition ( $\mathrm{SC}=\mathrm{N}_{\text {species }} / \mathrm{N}_{\mathrm{all}}$ ), and percent stocking. They can be used whether or not the current stand basal area is available.

## Aspen

[20]

$$
\text { BAINC }=\frac{10^{-4} a_{1} \text { Bhage }_{1}^{2} \exp \left(-a_{2} \text { Bhage }_{1}\right) \cdot\left[\ln \left(1+N_{0} \sqrt{1+\text { Bhage }_{1}}\right)\right]^{2} S I_{a w} S C_{1}^{a_{5}} P S I_{a w}^{a_{6}}}{\left(1+B A_{1}\right)^{a_{3}}\left[1+\exp \left(1-\frac{\ln \left(1+S C_{1}^{2}\right)}{2}\right)\right]}+k
$$

$$
k=a_{4} \ln \left(0.01+\text { Bhage }_{1} / 10\right)
$$

$$
a_{1}=0.055906, a_{2}=0.054216, a_{3}=1.240035, a_{4}=-0.05515, a_{5}=0.218326, \text { and } a_{6}=
$$ 0.749594 .

## Black spruce

[21] BAINC $=10^{-4} a_{1} \exp \left(-a_{2}\right.$ Bhage $\left._{1}\right)$ Bhage $e^{\left(\sqrt{a_{1}}+a_{2}\right)} S C_{1}^{a_{3}} \cdot k$

$$
k=\frac{\left(1+\sqrt{N_{0}} \cdot \sqrt{1+\text { Bhage }_{1}}\right) \ln \left(1+S I_{s b}\right) \exp \left(-\frac{N_{0} / 4}{10000}\right) P S I_{s b}^{a_{4}}}{\left(1+B A_{1}\right)^{a_{2}}}
$$

$a_{1}=0.333889, a_{2}=0.047669, a_{3}=0.03635$, and $a_{4}=0.484915$.

## White spruce

[22] BAINC $=\frac{10^{-4} a_{1}\left(a_{2}+\text { Bhage }_{1}\right)^{2}\left(1+\text { Bhage }_{1}\right)^{\left(\sqrt{\left.a_{1}+a_{2}-a_{3}\right)} \exp \left(-a_{2} \text { Bhage }_{1}\right) S C_{1}^{a_{6}} \cdot m \cdot P S I_{s w}^{a_{7}}\right.}}{\left[1+\exp \left(1+k+\frac{\ln \left(1+\sqrt{N_{0}} / 10000\right)}{2}+a_{3} \ln \left(1+B A_{1}\right)\right)\right]}$
$m=\left[\ln \left(1+N_{0} \sqrt{1+\text { Bhage }_{1}}\right)\right]^{2} \sqrt{S_{s w}} \exp \left(-\frac{N_{0} / 10}{10000}\right)$
$k=a_{4} z_{1} \ln \left(1+\frac{S D F_{a w}}{10000}\right)+a_{5} z_{2} \ln \left(1+\frac{S D F_{p l}}{10000}\right)+z_{3} \ln \left(1+\frac{S D F_{s b}}{10000}\right)$
$a_{1}=0.041072, a_{2}=0.067012, a_{3}=-0.00163, a_{4}=5.701986, a_{5}=2.222453$, $a_{6}=0.001126, a_{7}=0.368267$.
if $\mathrm{SDF}_{\mathrm{aw}}=0$ then $\mathrm{z}_{1}=0$; if $\operatorname{SDF}_{\mathrm{pl}}=0$ then $\mathrm{z}_{2}=0$; if $\operatorname{SDF}_{\mathrm{sb}}=0$ then $\mathrm{z}_{3}=0$; if $\mathrm{SDF}_{\mathrm{aw}}>0$ then $\mathrm{z}_{1}=1$; if $\mathrm{SDF}_{\mathrm{pl}}>0$ then $\mathrm{z}_{2}=1$; if $\mathrm{SDF}_{\mathrm{sb}}>0$ then $\mathrm{z}_{3}=1$.

## Lodgepole pine

$$
\begin{align*}
& \text { BAINC }=\frac{10^{-4} a_{1} \text { Bhage }_{1} \exp \left(-a_{2} \text { Bhage }_{1}\right)\left(1+\frac{\ln \left(1+\text { Bhage }_{1}\right)}{2}\right) \cdot m_{1} \cdot P S I_{p l}}{\left[1+\exp \left(\frac{k}{2}+\ln \left(1+\frac{1}{3} \cdot \frac{N_{0}}{10000}\right)-a_{3} \sqrt{S C_{1}}+a_{4} \ln \left(1+B A_{1}\right)\right)\right]}+m_{2}  \tag{23}\\
& m_{1}=\left(1+a_{3}+S I_{p l}^{a_{6}}\right) \sqrt{N_{0}} \exp \left(-\frac{1}{3} \cdot \frac{N_{0}}{10000}\right) \\
& m_{2}=a_{5} \ln \left(0.01+\text { Bhage }_{1} / 10\right) \\
& k=z_{1} \ln \left(1+\frac{S D F_{a w}}{1000}\right)+\frac{z_{2}}{2} \ln \left(1+\frac{S D F_{s w}}{1000}\right)+\frac{z_{3}}{2} \ln \left(1+\frac{S D F_{s b}}{1000}\right) \\
& \mathrm{a}_{1}=0.067391, \mathrm{a}_{2}=0.054113, \mathrm{a}_{3}=0.213767, \mathrm{a}_{4}=0.977498, \mathrm{a}_{5}=-0.00452, \text { and } \\
& \mathrm{a}_{6}=1.080421 .
\end{align*}
$$

if $\operatorname{SDF}_{\mathrm{aw}}=0$ then $\mathrm{z}_{1}=0$; if $\mathrm{SDF}_{\mathrm{sw}}=0$ then $\mathrm{z}_{2}=0$; if $\operatorname{SDF}_{\mathrm{sb}}=0$ then $\mathrm{z}_{3}=0$; if $\mathrm{SDF}_{\mathrm{aw}}>0$ then $\mathrm{z}_{1}=1$; if $\mathrm{SDF}_{\mathrm{sw}}>0$ then $\mathrm{z}_{2}=1$; if $\mathrm{SDF}_{\mathrm{sb}}>0$ then $\mathrm{z}_{3}=1$.

Where in [20]-[23]:
BAINC = annual basal area increment for the subject species ( $\mathrm{m}^{2} / \mathrm{ha} /$ year $)$
Bhage $_{1}=$ current breast height age (years)
$\mathrm{N}_{0}=$ initial density at bhage zero (for AW)
$\mathrm{N}_{0}=$ initial density at totage zero (for $\mathrm{SB}, \mathrm{SW}$ and PL)
$\mathrm{SI}_{\text {species }}=$ site index $\left(\mathrm{SI}_{\mathrm{bh}}, \mathrm{m}\right)$ at 50 years bhage
$\mathrm{SC}_{1}=$ current species composition $\left(\mathrm{N}_{\text {species }} / \mathrm{N}_{\text {all }}\right)$
$\mathrm{BA}_{1}=$ current basal area $\left(\mathrm{m}^{2} / \mathrm{ha}\right)$ for the subject species
PSI $=$ species percent stocking index (i.e., percent stocking at 50 years totage)
In practice, GYPSY users can assume that for aspen, the initial density at bhage zero is equivalent to the initial density at totage zero.

## 10. Gross total volume models

The gross total volume models predict the species-specific gross total volume of a stand at a $0 / 0$ utilization standard based on the top height and basal area of the subject species.

## White spruce and black spruce:

[24] Tvol $=\beta_{1} B A^{\beta_{2}} H_{\text {top }}^{\beta_{3}}$

## Aspen and lodgepole pine:

[25] Tvol $=\beta_{1} B A^{\beta_{2}} H_{\text {top }}^{\beta_{3}} \exp \left(1+\frac{\beta_{4}}{H_{\text {top }}^{2}+1}\right)$
Where in [24]-[25]:
Tvol $=$ gross total volume $\left(\mathrm{m}^{3} / \mathrm{ha}\right)$ of the subject species at the $0 / 0$ utilization standard $\mathrm{BA}=$ total basal area $\left(\mathrm{m}^{2} / \mathrm{ha}\right)$ of the subject species
$H_{\text {top }}=$ top height ( m ) of the subject species
Estimated coefficients for the gross total volume models are listed in Table 3.
Table 3. Estimated coefficients for the gross total volume models.

| Parameter | Model [24] |  |  | Model [25] |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Black spruce | White spruce |  | Aspen | Lodgepole pine |
| $\beta_{1}$ | 0.48628 | 0.41104 |  | 0.24872 | 0.19409 |
| $\beta_{2}$ | 0.98296 | 0.98311 |  | 0.98568 | 0.98828 |
| $\beta_{3}$ | 0.91060 | 0.97106 |  | 0.85728 | 0.94935 |
| $\beta_{4}$ |  |  |  | -24.9961 | -3.39036 |

Given the observed basal area and top height of a stand from any inventory, the gross total volume models can be used independent of the other models to obtain accurate volume predictions.

## 11. Merchantable volume models

The merchantable volume models predict the species-specific merchantable volume of a stand at any user-defined utilization standard. They take the following general form:
[26] Mvol $=\frac{T v o l ~}{\cdot} \cdot k^{b_{0}} b_{1} H_{\text {top }}^{b_{2}}$ StumpDOB ${ }^{b_{3}} \operatorname{StumpHT} T^{b_{4}} \operatorname{TopDib}^{b_{5}} k^{b_{6}}+k$,

$$
k=\sqrt{\frac{B A}{N / 10000}}
$$

where:
Mvol $=$ merchantable volume $\left(\mathrm{m}^{3} / \mathrm{ha}\right)$ of the species at a specified utilization standard
Tvol = gross total volume ( $\mathrm{m}^{3} / \mathrm{ha}$ ) of the species at $0 / 0$ utilization standard
$\mathrm{BA}=$ total basal area $\left(\mathrm{m}^{2} / \mathrm{ha}\right)$ of the subject species
$\mathrm{H}_{\text {top }}=$ species top height (m), i.e., average height of the 100 largest DBH trees per ha
StumpDOB = stump diameter outside bark (cm)
StumpHT = stump height (m)
TopDib = top diameter inside bark (cm)
$\mathrm{N}=$ density (stems/ha) of the species
Variables StumpDOB, StumpHT and TopDib define a utilization standard. For example, StumpDOB $=13$, StumpHT $=0.3$ and TopDib $=7$ represent a $13 / 7$ utilization standard with a stump height of 0.3 m . The minimum merchantable log length (e.g., $2.44,3.66$, or 4.88 m ) variable had an insignificant impact on the merchantable volume models.

Estimated coefficients for the merchantable volume model [26] are listed in Table 4.
Table 4. Estimated coefficients for the merchantable volume model [26].

| Parameter | Species |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | Aspen | Black spruce | White spruce | Lodgepole pine |
| $\mathrm{b}_{0}$ | 0.993673 | 0.98152 | 0.996262 | 0.989889 |
| $\mathrm{~b}_{1}$ | 923.5825 | 0.678011 | 7.021736 | 1.055091 |
| $\mathrm{~b}_{2}$ | -3.96171 | -1.10256 | -1.77615 | -0.19072 |
| $\mathrm{~b}_{3}$ | 3.366144 | 4.148139 | 1.91562 | 4.915593 |
| $\mathrm{~b}_{4}$ | 0.316236 | 0.511391 | 0.4111 | 0.42574 |
| $\mathrm{~b}_{5}$ | 0.968953 | 1.484988 | 1.024803 | 1.006379 |
| $\mathrm{~b}_{6}$ | -1.61247 | -3.26425 | -0.80121 | -4.87808 |

## 12. Height-diameter models

Height-diameter models are used to predict missing tree heights. They were developed using the first-order (FO) method of the nonlinear mixed-effects modeling technique. In addition to the four GYPSY species, height-diameter models were also developed for other tree species.

For each species, 3 separate models were fitted: a mixed model, a grand mean model, and a maximum model. The mixed model can be used to make accurate local predictions. The grand mean model is used to predict the population average. The maximum model can be used to constraint unrealistic local predictions (if they occur at all) from the mixed model approach, by increasing it by 10 or $20 \%$ and using the increased heights as the upper limits. For the four main species, subregion-based grand mean models were also fitted.

Mixed models (estimated parameters for the mixed models are listed in Tables 5a and 5b)

$$
\begin{aligned}
& \mathrm{H}_{i j}=1.3+\left(\beta_{1}+\mathrm{b}_{1 i}\right)\left[1-\exp \left(-\left(\beta_{2}+\mathrm{b}_{2 i}\right) \mathrm{DBH}_{i j}\right)\right]^{\left(\beta_{3}+\mathrm{b}_{3 i}\right)}+\varepsilon_{i j}(\mathrm{AW}, \mathrm{PJ}, \mathrm{~PB}, \mathrm{BW}) \\
& \mathrm{H}_{i j}=1.3+\left(\beta_{1}+\mathrm{b}_{1 i}\right)\left[1-\exp \left(-\left(\beta_{2}+\mathrm{b}_{2 i}\right) \mathrm{DBH}_{i j}\right)\right]^{\beta_{3}}+\varepsilon_{i j}(\mathrm{SB}, \mathrm{PL}) \\
& \mathrm{H}_{i j}=1.3+\left(\beta_{1}+\mathrm{b}_{1 i}\right)\left[1-\exp \left(-\beta_{2} \mathrm{DBH}_{i j}\right)\right]^{\left(\beta_{3}+\mathrm{b}_{2 i}\right)}+\varepsilon_{i j}(\mathrm{FD}) \\
& \mathrm{H}_{i j}=1.3+\frac{\left(\beta_{1}+\mathrm{b}_{1 i}\right)}{1+\exp \left[\beta_{2}+\left(\beta_{3}+\mathrm{b}_{2 i}\right) \ln \left(\mathrm{DBH}_{i j}+1\right)\right]}+\varepsilon_{i j}(\mathrm{FB}) \\
& \mathrm{H}_{i j}=1.3+\frac{\left(\beta_{1}+\mathrm{b}_{1 i}\right)}{1+\exp \left[\left(\beta_{2}+\mathrm{b}_{2 i}\right)+\left(\beta_{3}+\mathrm{b}_{3 i}\right) \ln \left(\mathrm{DBH}_{i j}+1\right)\right]}+\varepsilon_{i j}(\mathrm{SW}, \mathrm{LT})
\end{aligned}
$$

where:
$\mathrm{H}_{i j}, \mathrm{DBH}_{i j}=$ tree height (m) and DBH (cm) for the $j$ th tree in the $i$ th plot
$\beta_{1}, \beta_{2}$ and $\beta_{3}=$ fixed parameters common to all plots in the population $\mathrm{b}_{1 i}, \mathrm{~b}_{2 i}$, and $\mathrm{b}_{3 i}=$ random parameters specific to plot $i$
$\varepsilon_{i j}=$ a normally distributed within-plot error term

Table 5a. Estimated parameters for the mixed height-diameter models.

| Parameter | Balsam fir | Douglas fir | Black spruce | Lodgepole pine |
| :---: | :---: | :---: | :---: | :---: |
| $\beta_{1}$ | 28.0508 | 18.8741 | 24.2436 | 22.8239 |
| $\beta_{2}$ | 4.9970 | 0.07655 | 0.05014 | 0.05612 |
| $\beta_{3}$ | -1.7009 | 1.4970 | 1.0943 | 0.8931 |
| $\sigma_{b_{1}}^{2}$ | 59.088 | 9.7027 | 61.0729 | 27.3425 |
| $\sigma_{b_{1} b_{2}}$ | 1.4997 | 1.1460 | -0.1587 | -0.07851 |
| $\sigma_{b_{2}}^{2}$ | 0.04902 | 0.2049 | 0.000510 | 0.000469 |
| $\sigma^{2}$ | 2.3383 | 1.7929 | 1.0361 | 1.2488 |

Table 5b. Estimated parameters for the mixed height-diameter models.

| Parameter | White <br> birch | Tamarack | Jack pine | Balsam <br> poplar | Aspen | White <br> spruce |
| :---: | ---: | ---: | ---: | ---: | ---: | ---: |
| $\beta_{1}$ | 23.8293 | 17.7012 | 16.8576 | 25.9183 | 23.1660 | 33.4845 |
| $\beta_{2}$ | 0.05949 | 4.0050 | 0.1196 | 0.05309 | 0.09036 | 4.8067 |
| $\beta_{3}$ | 1.0644 | -1.5415 | 1.1992 | 1.0299 | 1.3587 | -1.5657 |
| $\sigma_{b_{1}}^{2}$ | 235.6300 | 39.4075 | 14.6007 | 26.3876 | 13.1749 | 51.3105 |
| $\sigma_{b_{1} b_{2}}$ | -0.9502 | 2.5992 | -0.1057 | -0.1313 | -0.08264 | 0.8477 |
| $\sigma_{b_{2}}^{2}$ | 0.004132 | 0.02595 | 0.001404 | 0.001080 | 0.001683 | 0.08428 |
| $\sigma_{b_{1} b_{3}}$ | -2.2586 | 0.3145 | -0.3285 | -0.8677 | -0.2097 | 0.6358 |
| $\sigma_{b_{2} b_{3}}$ | 0.01140 | 0.09888 | 0.004405 | 0.009951 | 0.01658 | -0.02298 |
| $\sigma_{b_{3}}^{2}$ | 0.04512 | 0.003591 | 0.02766 | 0.1164 | 0.2432 | 0.03203 |
| $\sigma^{2}$ | 1.2051 | 0.9154 | 1.2668 | 2.7023 | 2.2005 | 2.8493 |

Note: $\sigma_{b_{1}}^{2}, \sigma_{b_{2}}^{2}$ and $\sigma_{b_{3}}^{2}$ are variances for random parameters $b_{1}, b_{2}$ and $b_{3}$, respectively, $\sigma_{b_{1} b_{2}}, \sigma_{b_{1} b_{3}}$ and $\sigma_{b_{2} b_{3}}$ are covariances between random parameters, and $\sigma^{2}$ is the error variance.

Maximum models (used to predict the maximum HT at a given DBH )
AW: $\quad \mathrm{H}=1.3+36.2747[1-\exp (-0.06984 \mathrm{DBH})]^{0.9526}$
SB: $\quad \mathrm{H}=1.3+34.3451[1-\exp (-0.04892 \mathrm{DBH})]^{0.9042}$
SW: $\quad \mathrm{H}=1.3+\frac{44.2305}{1+\exp [4.3556-1.61271 \ln (\mathrm{DBH}+1)]}$
PL: $\quad \mathrm{H}=1.3+34.3351[1-\exp (-0.09239 \mathrm{DBH})]^{1.2158}$
FB: $\quad \mathrm{H}=1.3+\frac{34.3627}{1+\exp [4.3169-1.6948 \ln (\mathrm{DBH}+1)]}$
BW: $\quad \mathrm{H}=1.3+29.5987[1-\exp (-0.07520 \mathrm{DBH})]^{1.065}$
FD: $\quad \mathrm{H}=1.3+\frac{29.9011}{1+\exp [3.8605-1.4021 \ln (\mathrm{D} \mathrm{BH}+1)]}$
LT: $\quad \mathrm{H}=1.3+\frac{24.8959}{1+\exp [3.6910-1.78761 \ln (\mathrm{DBH}+1)]}$
PJ: $\quad \mathrm{H}=1.3+27.2158[1-\exp (-0.09577 \mathrm{DBH})]^{1.3137}$
PB: $\quad \mathrm{H}=1.3+37.3490[1-\exp (-0.04811 \mathrm{DBH})]^{0.0998}$

Grand mean models (used to predict the population average HT at a given DBH)
AW: $\quad \mathrm{H}=1.3+27.1624[1-\exp (-0.06399 \mathrm{DBH})]^{1.1293}$ (provincial)
AW: $\quad \mathrm{H}=1.3+27.9259[1-\exp (-0.060671 \mathrm{DBH})]^{1.2229}$ (subregions 4-11)
AW: $\quad \mathrm{H}=1.3+26.2387[1-\exp (-0.07412 \mathrm{DBH})]^{1.1985}$ (subregions 1-3, 12-20)
SB: $\quad \mathrm{H}=1.3+26.3983[1-\exp (-0.05419 \mathrm{DBH})]^{1.2426}$ (provincial)
SB: $\quad \mathrm{H}=1.3+27.1949[1-\exp (-0.05303 \mathrm{DBH})]^{1.2372}$ (subregions 1-3, 12-20, 11)
SB: $\quad \mathrm{H}=1.3+23.4710[1-\exp (-0.06309 \mathrm{DBH})]^{1.3238}$ (subregions 4-10)
SW: $\quad \mathrm{H}=1.3+\frac{34.8284}{1+\exp [5.3540-1.7532 \ln (\mathrm{DBH}+1)]}$ (provincial)
SW: $\quad \mathrm{H}=1.3+\frac{34.1575}{1+\exp [5.7034-1.8929 \ln (\mathrm{DBH}+1)]}$ (subregion 11-20)
SW: $\quad \mathrm{H}=1.3+\frac{33.6474}{1+\exp [4.7927-1.5373 \ln (\mathrm{DBH}+1)]}$ (subregion 10)
SW: $\quad \mathrm{H}=1.3+\frac{32.0551}{1+\exp [4.9474-1.5706 \ln (\mathrm{DBH}+1)]}$ (subregions 4-9)
SW: $\quad \mathrm{H}=1.3+\frac{35.1067}{1+\exp [5.2576-1.7589 \ln (\mathrm{DBH}+1)]}$ (subregions 1-3)
PL: $\quad \mathrm{H}=1.3+29.7809[1-\exp (-0.05241 \mathrm{DBH})]^{1.2389}$ (provincial)
PL: $\quad \mathrm{H}=1.3+29.1797[1-\exp (-0.06138 \mathrm{DBH})]^{1.3339}$ (subregion 11)
PL: $\quad \mathrm{H}=1.3+25.1275[1-\exp (-0.07482 \mathrm{DBH})]^{1.4598}$ (subregions 1-3, 10, 12-20)
PL: $\quad \mathrm{H}=1.3+19.2507[1-\exp (-0.08169 \mathrm{DBH})]^{1.2170}$ (subregions 4-9)
FB: $\quad \mathrm{H}=1.3+\frac{29.9784}{1+\exp [5.2393-1.7470 \ln (\mathrm{DBH}+1)]}$
BW: $\quad \mathrm{H}=1.3+19.8793[1-\exp (-0.09701 \mathrm{DBH})]^{1.2333}$
FD: $\quad \mathrm{H}=1.3+\frac{29.9011}{1+\exp [3.9017-1.2235 \ln (\mathrm{DBH}+1)]}$
LT: $\quad \mathrm{H}=1.3+\frac{21.8411}{1+\exp [5.4953-2.1154 \ln (\mathrm{DBH}+1)]}$

PJ: $\quad \mathrm{H}=1.3+23.5420[1-\exp (-0.06962 \mathrm{DBH})]^{1.266}$
PB: $\quad \mathrm{H}=1.3+29.6562[1-\exp (-0.04166 \mathrm{DBH})]^{0.9753}$

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Company
Alberta Newsprint Company
Alberta Pacific Forest Industries
Alberta Plywood
Blue Ridge Lumber
Canadian Forest Products
Foothills Forest Products
Hinton Wood Products
Millar Western Forest Products
Sundance Forest Industries
Sundre Forest Products
Weyerhaeuser Company

| Financial support |  |
| :---: | :---: |
| $\checkmark$ |  |
|  | In-kind support |
|  | $\checkmark$ |
| $\checkmark$ | $\checkmark$ |
| $\checkmark$ | $\checkmark$ |
| $\checkmark$ | $\checkmark$ |
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|  | $\checkmark$ |

Appendix 1. A generalized program for predicting site index from top height and total age.

```
*This program predicts the following variables, from given top height and total age:
-SIt (top height at 50 years totage)
-SI (th (top height at 50 years bhage)
-Y2BH (years needed to reach the breast height of 1.3 m from germination)
```

OPTIONS LS=108 PS=60;


| B1 | $=$ | $12.84571 ;$ |
| :--- | :--- | :--- |
| B2 | $=$ | $-5.73936 ;$ |
| B3 | $-0.91312 ;$ |  |
| B4 | $=$ | $0.150668 ;$ |

        si0=10;
    do until(abs(si0-si1)<0.00000001);
$\mathrm{k} 1=\exp \left(\mathrm{b} 1+\mathrm{b} 2^{*} \operatorname{sqrt}(\log (50+1.00))+b 3^{*}(\log (\operatorname{si0}))^{* *} 1+b 4 * \operatorname{sqrt}(50)\right)$;
k2 = si0**(b3 );
$\mathrm{k} 3=\left((\text { si0 })^{*}(1+k 1) / 1 \cdot 3-1\right) /\left(\exp (b 1) * \exp (b 4 * \operatorname{sqrt}(50))^{*} k 2\right)$;
Y2BH_pl $=\exp ((\log (k 3) / b 2) * * 2)-1 ;$
$x 10=\left(1+e x p\left(b 1+b 2 * \operatorname{sqrt}\left(\log \left(0 \quad+\right.\right.\right.\right.$ totage +1.00)) +b3* $\log (\text { si0 })^{* *} 1+b 4 * \operatorname{sqrt(50)));~}$
$\times 20=\left(1+\exp \left(b 1+b 2 * \operatorname{sqrt}(\log (50+1.00))+b 3 * \log (\operatorname{si0})^{* *} 1+b 4 * \operatorname{sqrt}(50)\right)\right)$;
si1=topht*x10/x20;
si0=(si0+si1)/2;
end;
SI_t_pl = si1;
SI_bh_pl= si_t_pl*(1+exp(b1 + b2*sqrt(log(50 +1.0))+b3*(log(si_t_pl))**1+b4*sqrt(50) ))/
(1+exp(b1 + b2*sqrt (log(50 +Y2BH_pl +1.0)) +b3*(log(si_t_pl))**1+b4*sqrt(50))); ;

end;
if species='SB' then do;

| B1 $=$ | $14.56236 ;$ |
| :--- | :--- |
| B2 | $-6.04705 ;$ |
| B3 | $-1.53715 ;$ |
| B4 $=$ | $0.240174 ;$ |

    si0=10;
    do until(abs(si0-si1)<0.00000001)
$\mathrm{k} 1=\exp \left(\mathrm{b} 1+\mathrm{b} 2^{*} \operatorname{sqrt}(\log (50+1.00))+b 3^{*}(\log (\operatorname{si0}))^{* *} 1+b 4 * \operatorname{sqrt}(50)\right)$;
$\mathrm{k} 2=\operatorname{si0}{ }^{* *}(\mathrm{~b} 3 \quad$ );
$\mathrm{k} 3=\left((\operatorname{si0})^{*}(1+\mathrm{k} 1) / 1 \cdot 3-1\right) /\left(\exp (\mathrm{b} 1) * \exp (\mathrm{~b} 4 * \operatorname{sqrt}(50))^{*} \mathrm{k} 2\right)$;
Y2BH_sb=exp((log(k3)/b2)**2) - 1;
$x 10=\left(1+\exp \left(b 1+b 2 * \operatorname{sqrt}(\log (0 \quad+\right.\right.$ totage +1.00$\left.\left.))+b 3^{*} \log (\operatorname{si0})^{* *} 1+b 4 * \operatorname{sqrt}(50)\right)\right)$;
$x 20=\left(1+\exp \left(b 1+b 2 * \operatorname{sqrt}(\log (50+1.00))+b 3 * \log (\operatorname{si0})^{* *} 1+b 4 * \operatorname{sqrt}(50)\right)\right)$;
si1=topht*x10/x20;
si0=(si0+si1)/2;
end;
SI_t_sb = si1;
SI_bh_sb= si_t_sb* (1+exp(b1 + b2*sqrt(log(50 +1.0)) +b3* (log(si_t_sb))**1+b4*sqrt(50) ))/

end: $\quad\left(1+\exp \left(b 1+b 2 * \operatorname{sqrt}(\log (\right.\right.$ totage +1.0$\left.\left.))+b 3^{*}\left(\log \left(s i \_t \_s b\right)\right) * * 1+b 4^{*} \operatorname{sqrt}(50)\right)\right)$;

```
if species='SW' then do;
\begin{tabular}{lll} 
B1 & \(=\) & \(12.14943 ;\) \\
B2 & \(=\) & \(-3.77051 ;\) \\
B3 & \(=\) & \(-0.28534 ;\) \\
B4 & \(=\) & \(0.165483 ;\)
\end{tabular}
    si0=10;
do until(abs(si0-si1)<0.00000001);
    k1 = exp(b1 + b2*sqrt(log(50**2+1.00))+b3*(log(si0))**2+b4*sqrt(50));
    k2 = si0**(b3*log(si0));
    k3 = ((si0)*(1+k1 )/1.3-1)/(exp(b1)*exp(b4*sqrt(50))*k2 );
    Y2BH_sW=sqrt(exp((log(k3)/b2)**2) - 1);
    x10 = (1+exp(b1 +b2*sqrt(log(0 +totage**2 +1.00)) +b3* log(si0)**2+b4*sqrt(50)));
    x20 = (1+exp(b1 +b2*sqrt(log(50**2 +1.00)) +b3* log(si0)**2+b4*sqrt(50)));
    si1=topht*x10/x20;
    si0=(si0+si1)/2;
end;
SI_t_sw = si1;
SI_bh_sw= si_t_sw*(1+exp(b1 + b2*sqrt(log(50**2 +1.0))+b3*(log(si_t_sw))**2+b4*sqrt(50) ))/
    (1+exp(b1 + b2*sqrt(log((50 +Y2BH_sw)**2 +1.0))+b3*(log(si_t_sw))**2+b4*sqrt(50) ));
ht_sw = si_t_sw*(1+exp(b1 + b2*sqrt(log(50**2 +Y2BH_sw)**2 +1.0) +b) +b3*(log(si_t_sw))**2+b4*sqrt(50) )
end;
if species='AW' then do;
\begin{tabular}{lll} 
B1 & \(=\) & \(9.908888 ;\) \\
B2 & \(=\) & \(-3.92451 ;\) \\
B3 & \(=\) & \(-0.32778 ;\) \\
B4 & \(=\) & \(0.134376 ;\)
\end{tabular}
    si0=10;
do until(abs(si0-si1)<0.00000001);
    k1 = exp(b1 + b2*sqrt(log(50+1.00))+b3*(log(si0))**2+b4*sqrt(50));
    k2 = si0**(b3*log(si0));
    k3 = ((si0)* (1+k1 )/1.3-1)/(exp(b1)*exp(b4*sqrt(50))*k2 );
    Y2BH_aw=exp((log(k3)/b2)**2) - 1;
    x10 = (1+exp(b1 +b2*sqrt(log(0 +totage +1.00)) +b3*log(si0)**2+b4*sqrt(50)));
    x20 = (1+exp(b1 +b2*sqrt(log(50 +1.00)) +b3*log(si0)**2+b4*sqrt(50)));
    si1=topht*x10/x20;
    si0=(si0+si1)/2;
end;
SI_t_aw = si1;
SI_bh_aw= si_t_aw*(1+exp(b1 + b2*sqrt(log(50 +1.0))+b3*(log(si_t_aw))**2+b4*sqrt(50) ))/
    (1+exp(b1 + b2*sqrt(log(50 +Y2BH_aw +1.0)))b3*(log(si_t_aw))**2+b4*sqrt(50) ));
ht_aw = si_t_aw*(1+exp(b1 + b2**sqrt(log(50 + (1+exp(b1 + bw +1.0))+b3**(log(si_t_aw))**2+b4*sqrt(50) )
end;
drop B1 B2 B3 B4 si0 si1 k2 k3 x10 x20;
proc print data=examp2(obs=10)
run;
```

*Output;


